

Ranking Policy

Part 1 VC/PE Funds

- **VC Fund of the Year & Top 50 VC Funds of the Year**
- **PE Fund of the Year & Top 30 PE Funds of the Year**

Assessment Criteria:

Item		Percent
Capital under Management (Investible in Mainland China)		10%
Capital Raised		10%
Investment	No. of Deals	15%
	Amount Invested	20%
Exit	No. of Deals	10%
Return		35%

Criteria: VC/PE funds will be ranked based on their specific score. The fund getting the highest score will be ranked the first.

- **Active VC Fund of the Year (2 Winners) & Active PE Fund (Focusing on Mainland China) of the Year (2 Winners)**

Criteria: Most Active VC Fund and Most Active PE Fund will be ranked by annual investment amount and total number of investment deals.

- **VC Fundraising Winner of the Year & PE Fundraising Winner of the Year**

Criteria: Funds will be ranked orderly based on the capital under management (investible in Mainland China). The fund scoring highest is the final winner.

- **VC Exit Winner of the Year & PE Exit Winner of the Year**

Criteria: VC/PE exit winner will be awarded to the fund scoring highest in terms of exit option, number of exit deals, amount exited and investment return.

Part 2 People

- **Top 10 Active Venture Capitalists & Top 10 Active PE Capitalists**
- **Venture Capitalist of the Year & PE Capitalist of the Year**

Criteria: Ranked by fund performance, individual performance and nomination ballot from this survey.

Note: Candidates must be equipped with titles such as partners, general managers, managing directors, executive directors, chief representatives, and other key positions from VC/PE firms.

➤ **Top 10 VC/PE-backed Entrepreneurs**

Criteria: Ranked by two criteria: ballot from survey and investment return.

Note: Candidates must be founders, board chairmen, presidents, CEO or other key positions from VC/PE-backed enterprises.

Part 3 Deals

➤ **VC Investment of the Year & PE Investment of the Year**

Criteria: Amongst the select deals, the one getting the maximum number of votes in our survey will be the winner.

➤ **VC Exit of the Year & PE Exit of the Year**

Criteria: Exit deals will be ranked orderly by the score based on two items (See table below). The deal winning highest score will be crowned.

Item	Percent
Ballot out of the total poll	40%
Average return for exited deals out of the annual average	60%

Part 4 Agency

- **Venture Capital Market Lead Legal Advisor of the Year**
- **Private Equity Market Lead Legal Advisor of the Year**

Ranked according to the following criteria:

Item	Percent
The proportion of entrusted deals in the entire deals	35%

The proportion of amount for entrusted deals in the total amount	35%
Ballot out of the total poll	30%

Note: Amount less than US\$500,000 will be excluded.

- **Top 3 VC/PE-backed Overseas IPO Lead Underwriters of the Year**
- **Top 3 VC/PE-backed Domestic IPO Lead Underwriters of the Year**
- **VC/PE-backed Overseas IPO Lead Auditing Firm of the Year**
- **VC/PE-backed Domestic IPO Lead Auditing Firm of the Year**
- **VC/PE-backed Overseas IPO Lead Legal Advisor of the Year**
- **VC/PE-backed Domestic IPO Lead Legal Advisor of the Year**

Ranked according to the following criteria:

Item	Percent
The proportion of entrusted deals in the entire deals	40%
The proportion of amount for entrusted deals in the total amount	40%
VC/PE-backed deals out of the total VC/PE-backed deals	20%

(1) The offer amount over US\$1B will be uniformly calculated as US\$1B.

(2) Amount less than US\$500,000 will be excluded in the assessment process.

- **VC/PE-related M&A Market Legal Advisor of the Year**

Ranked according to the following criteria:

Item	Percent
The proportion of entrusted deals in the entire deals	35%
The proportion of amount for entrusted deals in the total amount	35%
Ballot out of the total poll	30%